Supreme Court, U.S.
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No. _____

In the Supreme Court of the United States

In re Petition of Elliot Rothenberg for
Review of a Decision of the
Board of Continuing Legal Education

ON PETITION FOR WRIT OF CERTIORARI
TO THE MINNESOTA SUPREME COURT

PETITION FOR WRIT OF CERTIORARI

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QUESTIONS PRESENTED FOR REVIEW

1. Whether the First Amendment forbids a state from suspending the license of an attorney for refusing to pay for and attend classes with state-approved political, ideological, and religious expression with which the attorney disagrees.

2. Whether the First Amendment Establishment Clause bars classes, included in a state curriculum of continuing legal education, presenting a partial and selective interpretation of the tenets and practices of one particular religion.

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Petitioner Elliot Rothenberg respectfully requests a writ of certiorari to review the judgment and opinion the Minnesota Supreme Court entered in this proceeding on March 25, 2004.

OPINIONS BELOW

The decision of the Minnesota Supreme Court is reported at 676 N.W.2d 283 (Minn. 2004).

JURISDICTION

Judgment of the Minnesota Supreme Court was dated March 25, 2004. Jurisdiction of this Court is conferred by 28 U.S.C. § 1257.

CONSTITUTIONAL PROVISIONS INVOLVED

Amendment I. Congress shall make no law respecting an establishment of religion, or prohibiting the free exercise thereof; or abridging the freedom of speech, or of the press;...

Amendment XIV, section 1. ...nor shall any State deprive any person of life, liberty, or property, without due process of law;...

STATEMENT OF THE CASE

This case poses the question of whether the First Amendment allows a state to suspend the license of a lawyer solely for refusing to pay for and attend classes with state-approved political, ideological, and religious expression with which the attorney disagrees.

The Minnesota Supreme Court requires attorneys to pay for and attend two hours every three years of what it terms elimination of bias classes. Unlike the state's other

continuing legal education requirements, to which petitioner has complied, the elimination of bias program prohibits instruction regarding substantive law unless the class "meets one or more learning goals." The stated "learning goals" are, *inter alia*, "to educate attorneys about the elimination of bias or prejudice in the legal profession" and "to educate attorneys about the problems identified in" the Report of the Minnesota Supreme Court Race Bias Task Force which was the genesis of the program.

University of Minnesota scholars described as political progressives have criticized this Report, both as regards its findings and its policy prescriptions, calling it "the most biased report I've seen in a long time," "not scholarly and not even-handed," and "seeking to push a point and not wanting to acknowledge off-setting facts." Star Tribune, June 27, 1993, at 1B.

Petitioner argued below that the Report advocates divisive policies, often of dubious constitutionality. For example, it demands racial quotas for grand juries in Minnesota's two largest counties, p. S-14-15, 37, despite this Court's holdings that state imposed quotas are unconstitutional. *Gratz v. Bollinger*, 539 U.S. 244 (2003).

The Report likewise insists upon an explicitly racially based juvenile and family justice system. It opposes interracial placements and demands that the state legislature "enact meaningful sanctions and penalties" against those who do not cooperate. p. S-27-28, 95-97.

The Report regards any disagreement, even by judges, as motivated by racism. For example, it charged that a judge was biased for observing that its racially based juvenile justice program was unconstitutional. p. 90. It said that those wanting a color blind society free of discrimination are

"impeding the dispensation of justice to people of color." p. S-2, 7.

Petitioner argued below that many classes in the elimination of bias curriculum are not germane to any reasonable definition of legal education, or of discrimination, and instead consist of ideological expression of one point of view. The classes discussed in the briefs and oral argument include:

- A rally for credit, called "Representing Muslim clients after 9-11: can the Sixth Amendment survive?," for a lawyer who at the time was and remains under indictment for providing material support to terrorist activities and for fraud. While the course promotional materials hailed defendant Lynne Stewart as a "distinguished attorney," court papers describe her as "an indispensable and active facilitator of the terrorist communication network." The application for course approval dismissed the atrocities of September 11, 2001, as an "incident". Ex. 2; Washington Post, November 20, 2003, at A03.

- A class which extols "the soft vengeance of a freedom fighter." ex. I.

- Classes which attack the USA PATRIOT Act. ex. I, ex. 5.

- Classes which oppose military action against terrorists and their supporters. ex. 4.

- Classes which assert that profiling is categorically illegal and oppose profiling by ethnicity or national origin to protect, for example, airline flights and other targets of terrorism. ex. 5, 6.

- Numerous classes with titles like "Understanding Islam" which present a partial, selective interpretation of the religious tenets and practices of Islam. Absent from the discussion are such matters of religious fanaticism as mass terrorism, aggressive religious jihad, the savage murder by militants of innocent persons either individually or through the atrocities of September 11, 2001, the recruitment of homicide-suicide bombers, the international network of official Islamic schools whose principal function is teaching hatred of non-Muslims and the U.S., misogyny, and the like. ex.3, I. According to respondent's brief below, at 16, these classes comprised 6% of the entire curriculum in 2002.

- A "theatrical performance" entitled "Impeach Justice Douglas," given several times, which criticizes the Vietnam War, the "McCarthy era," and the Julius and Ethel Rosenberg convictions. ex. 7.

- Another theatrical performance entitled "Presumed guilty" which adopts a confrontational approach to race relations and does not even purport to engage in legal education. ex. 9.

- Classes which condemn capital punishment as a "challenge to justice" without presenting a contrary view. ex. 8, I.

- A class which attacks free trade and "globalization." ex. I.

- Another class which attacks urban-suburban sprawl as allegedly "undermining civil rights." ex. I.

In the Minnesota Supreme Court arguments, respondent Minnesota Board of Continuing Legal Education did not

defend or even address the specifically challenged courses. A-7.

Petitioner did not limit his objections to these examples but argued below that the entire curriculum has had an ideological content. A-8, A-13-14.

The decision below referred to what is termed "a broad array of courses" which it acknowledged went beyond what it called "a limited view of what constitutes bias." A-15.

Like petitioner, many Minnesota attorneys perceive the elimination of bias requirements as promoting a state-approved political orthodoxy. In a survey conducted in early January 2004, the Minnesota State Bar Association asked attorneys their opinion as to whether the requirements, in the question's words, "represent political correctness run amok." More than 60% of Minnesota attorneys agreed and only 18% disagreed. The Bar Association also asked Minnesota attorneys whether the requirement "is a necessary measure to address racial bias in our judicial system." Two-thirds of the state's attorneys said no and only 15% said yes. http://mail.Statebar.gen.mn.US/search/survey/ResultsOverview.asp?DisplayHeader=&SurveyID=153. Respondent's own survey found that fewer than 50% of attorneys would say anything which respondent "deemed to be positive" about the requirement and reported similar criticisms of a politicized agenda. ex. H, p. 6-8.

Petitioner declined to pay for and attend such classes in the relevant 1999-2002 period. In the previous reporting period, he had paid for and attended a class with the generic title of "ethics and bias." Unbeknownst to petitioner beforehand, the class turned out to be a one-sided protest against capital punishment. ex. 8, R. 10-11. In accordance with the procedures established by the Minnesota Supreme Court,

petitioner requested a hearing before respondent and then sought review by the court below.

The only disputed issue below was the applicability of the First Amendment, together with similar state rights. Petitioner raised these constitutional issues in requesting a hearing and in his brief and oral arguments before the Board. He also addressed the First Amendment issues in his briefs and oral argument before the Minnesota Supreme Court.

The state Supreme Court upheld the constitutionality of its program and ordered petitioner to pay for and attend two hours of elimination of bias classes by July 1, 2004. Upon failure to do so, the court ordered that petitioner be placed on "involuntary restricted status," suspending him from the bar from representing any persons other than himself.

Petitioner has not paid for and attended such a class and instead has respectfully submitted this petition.

REASONS FOR GRANTING THE WRIT

"'Can the state compel a person what to think?' asked Justice Alan Page. 'To have an ideology that the state espouses?'" Respondent's counsel replied in the oral argument, "It's a concept of education rather than persuasion." Star Tribune, January 6, 2004, at B3, col. 5. [The Minnesota Supreme Court does not make available an official transcript of oral arguments].

Notwithstanding Justice Page's insight, the Minnesota Supreme Court decided an important question of First Amendment law in a way that conflicts with relevant decisions of the United States Supreme Court.

I. The decision below compels subsidization of political and ideological speech in violation of the First Amendment.

The court below held that compelling payment for and attendance at classes with state-approved expression on various disputed issues "only requires that Minnesota lawyers be passively exposed to certain ideas." A-13. Adopting language from *Greenberg v. State Bar of California*, 92 Cal.Rptr.2d 493, 496 (Cal.Ct.App.2000), it declared that there is no First Amendment violation where lawyers "are merely passively exposed to...their goals or other political agendas." A-13.

The Minnesota Supreme Court's ruling warranting forced "passive exposure," if not reversed, would eviscerate more than sixty years of compelled speech decisions of this Court. *West Virginia State Board of Education v. Barnette*, 319 U.S. 624 (1943); *Wallace v. Jaffree*, 472 U.S. 38 (1985); *Hurley v. Irish-American Gay, Lesbian and Bisexual Group of Boston*, 515 U.S. 557 (1995). For example, *Wallace v. Jaffree* struck down a state required minute of silence which would have fallen within the rubric of "passive exposure" by not, in the words of the court below, forcing anyone to overtly "manifest agreement with anything." A-12-13.

What is critical, then, is not the citizen's degree of passivity in submitting to governmental compulsion but the state's imposition of its "certain ideas" upon those who object to them.

Especially, the ruling below conflicts with decisions barring compelled subsidization of speech to which one objects such as required fees for propaganda on state license plates, compelled payments for commercial advertising, and

the use of state-mandated union and bar association dues for ideological purposes. *Wooley v. Maynard*, 430 U.S. 705 (1977); *Abood v. Detroit Board of Education*, 431 U.S. 209 (1977); *Keller v. State Bar of California*, 496 U.S. 1 (1990); *United States v. United Foods, Inc.*, 533 U.S. 405 (2001). See also *Brown v. Legal Foundation of Washington*, 538 U.S. 216, 253 (2003), Kennedy, J., dissent.

Of particular relevance here, *Keller* held that a state could not force attorneys to pay for ideological activities of the state bar association like pushing for gun control and a nuclear freeze. The Court held that compelled fees must be necessarily or reasonably incurred for regulating the legal profession or improving the quality of legal services.

The holding of the court below that its curriculum met the *Keller* test should not be sufficient by itself. As in *Keller*, this Court should apply an objective standard of strict scrutiny to insure state compliance with constitutional protections against compelled speech.

Without justifying the classes specified above in this petition, the Court below wrote of 150 different classes and referred to a course entitled "Understanding deaf culture." A-7, A-14.

However, respondent itself, brief below at 7, referred to unrebutted hearing testimony that even such courses had ideological and policy agendas, i.e. that disabilities should qualify as defenses to criminal and other charges.

As petitioner pointed out below, whatever the exact number of classes in the curriculum, ideological advocacy is inevitable for courses whose purpose is not to educate regarding substantive law but to inculcate what are deemed proper attitudes.

Indeed, during the litigation of this case, members of the Minnesota Supreme Court and respondent recognized the ideological direction of the elimination of bias curriculum. In the oral argument below, Justice Paul Anderson referred to the "perception that attorneys with one ideological view have a tougher time getting course approval than if they came from another one." Minnesota Lawyer, January 12, 2004, at 1.

At the hearing before respondent, a Board member asked petitioner if a class from a conservative political perspective would be objectionable. Petitioner responded, "I don't think an attorney ought to be required to take either left-wing ideological courses or right-wing ideological courses....I think the courses offered for continuing legal education ought to be continuing legal education." R. 39-40.

Even setting aside the tendentious nature of the curriculum, forcing "exposure to certain ideas," no matter how laudable the professed goal of eliminating bias, does not justify limiting speech "in the service of orthodox expression...for no better reason than promoting an approved message or discouraging a disfavored one." *Hurley*, 515 U.S. at 579.

The Court may wish to hear this case in conjunction with recent grant of certiorari in *Veneman v. Livestock Marketing Association*, No. 03-1164. Doing so would give the Court the opportunity to clarify the law regarding alleged government speech and compelled private speech. See Note, *The Curious Relationship Between the Compelled Speech and Government Speech Doctrines*, 117 Harv. L. Rev. 2411 (2004).

II. The First Amendment prohibits state punishment of thought as distinct from wrongful conduct.

Petitioner told the court below that bigotry was evil and that the state can and should penalize discriminatory conduct which infringes the rights of others, but that there is a difference between behavior and opinion. The First Amendment does not permit the state to criminalize one category of what it deems wrongful thought. *RAV v. City of St. Paul*, 505 U.S. 377 (1992).

Similarly, the court below erred in interpreting the guarantee of freedom of speech to permit it to suspend petitioner's state license to practice law.

The punishment of taking away a right to earn a livelihood is so disproportionate to the putative "offense" - a refusal to pay for and submit to "education" on what government officials regard as rightful ideas - as to constitute a violation of the First Amendment under *RAV*.

In fact, respondent's own witnesses refused to support the suspension of petitioner. For example, a witness representing the Hmong community agreed that people should be allowed to have differing opinions without being punished for them. "It's in the constitution," he said. R. 33.

III. The state's curriculum violates the First Amendment Establishment Clause.

The court below found that the many state classes on "understanding Islam" discussed the religious tenets of Islam but intentionally omitted more malevolent matters like Islamic-based terrorism as not "appropriate" in "improving lawyers' ability to work with Muslim clients." A-16. The decision below pointed to no evidence whatsoever of bias by

Minnesota lawyers against Muslims. Even so, the state has no constitutional obligation to support religious studies regardless of claimed justification. *Locke v. Davey*, 124 S.Ct. 1307 (2004).

On the contrary, the Establishment Clause should not permit a state to single out studies in one particular religion for favor or, for that matter, disfavor. It should not allow state classes to purportedly facilitate representation of clients of one religion any more than comparable classes on other religions.

State sponsorship of classes which deliberately propagate a partial interpretation of a particular religion violate the long standing principle that states must not endorse religion in general or any single religion and must be neutral in all respects toward religion. *Zelman v. Simmons-Harris*, 536 U.S. 639 (2002); *Santa Fe Independent School District v. Doe*, 530 U.S. 290 (2000); *Rosenberger v. University of Virginia*, 515 U.S. 819 (1995).

CONCLUSION

In February 2004, the American Bar Association adopted a resolution urging all states to require elimination of bias programs similar to that under review.

The ruling below was the second state court decision which held that the First Amendment does not afford protection where those under state compulsion "are merely passively exposed to...political agendas."

The implications of such sweeping language excusing state compulsion through supposed "passive exposure" go beyond classes for lawyers and extend to the entire subject of compelled speech. The decision below, if allowed to stand,

would serve as a precedent giving government officials a weapon to infringe First Amendment rights.

For the reasons stated herein, petitioner respectfully requests that this Court grant a writ of certiorari in this case.

Respectfully submitted,

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Dated: June 21, 2004

STATE OF MINNESOTA
IN SUPREME COURT
A03-884

Board of Continuing Legal Education Anderson, Paul H., J.

In re Petition of Elliot Rothenberg for Review of a Decision of the Board of Continuing Legal Education

Filed: March 25, 2004
Office of Appellate Courts

SYLLABUS

Rule 9(A)(2) of the Rules of the Minnesota Board of Continuing Legal Education does not violate petitioner's First Amendment right to freedom of speech.

The Minnesota Board of Continuing Legal Education's approval of certain continuing legal education courses that include a discussion of religion as courses qualifying for completion of the requirements of Rule 9(A)(2) of the Rules of the Minnesota Board of Continuing Legal Education does not violate the Establishment Clause of the First Amendment of the United States Constitution.

The Minnesota Board of Continuing Legal Education's approval of certain continuing legal education courses that include a discussion of religion as courses qualifying for completion of the requirements of Rule 9(A)(2) of the Rules of the Minnesota Board of Continuing Legal Education does not violate the Freedom of Conscience Clause of article 1, section 16 of the Minnesota Constitution.

It is so ordered.

Heard, considered, and decided by the court en banc.

OPINION

ANDERSON, Paul H., J.

Petitioner Elliot Rothenberg is a lawyer currently licensed to practice law in the State of Minnesota. During his most recent three-year reporting period for continuing legal education credits, Rothenberg chose not to comply with Rule 9(A)(2) of the Rules of the Minnesota Board of Continuing Legal Education (RMBCLE). Rule 9(A)(2) requires lawyers to submit an affidavit showing that they have completed at least two hours of courses on the elimination of bias in the legal profession and in the practice of law. At a hearing before the Board of Continuing Legal Education, Rothenberg asserted that the elimination of bias requirement is unconstitutional. The Board found that Rothenberg was in noncompliance with the elimination of bias requirement and recommended that his license be placed on restricted status. We conclude that the elimination of bias requirement and the Board's approval of continuing legal education courses to satisfy the requirement do not violate Rothenberg's constitutional rights and order Rothenberg to comply with Rule 9(A)(2), RMBCLE, by July 1, 2004, or have his license placed on involuntary restricted status.

On September 15, 1995, this court established by order an elimination of bias requirement as part of the continuing legal education program in Minnesota.[1] At

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[1] This court has the inherent power to regulate the practice of aw in Minnesota. *Sharood v. Hatfield*, 296 Minn. 416, 422-23, 210 N.W.2d 275, 279 (1973). Additionally, Minn. Stat. § 480.05 (2002) provides that we are to "prescribe, * * * amend and modify * * * rules governing the examination and admission to practice of attorneys at law and rules governing their conduct in the practice of their profession * * *. "

the same time, we authorized the Board of Continuing Legal Education to create a Special Continuing Legal Education Advisory Committee to study definitions, course approval standards, and recommend rules for the requirement.[2] On January 30, 1996, the Advisory Committee submitted an Interim Report, which noted concerns and recommendations from members of the bar regarding the elimination of bias requirement. Many bar members recommended that the rules should not only permit the approval of courses addressing issues identified in the Minnesota Supreme Court Task Force Report on Race Bias, but also permit courses addressing other viewpoints on the extent of bias in the legal profession. Members cautioned against drafting rules that would "require attorneys to attend courses contrary to the attorneys' political or religious beliefs," and recommended the court adopt a "broad definition of bias, permitting the approval of any course designed to help attorneys become aware of bias in society in general." In its Final Report, the Advisory Committee recommended rules and definitions for the administration of the elimination of bias requirement as part of the continuing legal education program in Minnesota. We adopted rules for the elimination of bias requirement, which are now contained in the Rules of the Minnesota Board of Continuing Legal Education.[3]

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[2] The Board of Continuing Legal Education has supervisory authority over the administration of the Rules of the Minnesota Board of Continuing Legal Education, including the authority to approve courses and programs to satisfy the educational requirement of the rules, subject to the general direction of this court. Rule 3(D), RMBCLE.

[3] On April 17, 2000, we adopted the Rules of the Minnesota Board of Continuing Legal Education, effective July 1, 2000, which repealed the Rules of the Minnesota Supreme Court and the State Board for Continuing Legal Education of Members of the Bar, which had governed continuing legal

Rule 2(I) of the Rules of the Minnesota Board of Continuing Legal Education defines continuing legal education courses on the elimination of bias in the legal profession and in the practice of law as courses that are

> directly related to the practice of law that [are] designed to educate attorneys to identify and eliminate from the legal profession and from the practice of law biases against persons because of race, gender, economic status, creed, color, religion, national origin, disability, age or sexual orientation.

As with all CLE courses, to qualify for credit an elimination of bias course must be approved by the Board. Rule 4, RMBCLE. To be approved, a course: must be identified on an application and described in a narrative as fulfilling the elimination of bias requirement; must focus on issues in the legal profession and in the practice of law and not on issues of bias in society in general; and must not address the substantive law of illegal discrimination unless the course meets one or more of the learning goals for elimination of bias courses. Rule 6(B), RMBCLE.

The learning goals of the elimination of bias requirement are:

> 1. [T]o educate attorneys about the elimination of bias or prejudice in the legal profession, in the practice of law, and/or in the administration of justice;

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education requirements. By Order dated December 11, 2003, we prescribed and promulgated Amendments to the Rules of the Minnesota Board of Continuing Legal Education, effective February 1, 2004. This opinion cites to the rules, as amended, effective February 1, 2004.

2. [T]o educate attorneys regarding barriers to hiring, retention, promotion, professional development and full participation of lawyers of color, women, and those persons referenced in the "Course in the elimination of bias in the legal profession and in the practice of law" definition Rule 2(I) of the Rules of the CLE Board, both in the public and private sector of the legal profession and in the practice of law;

3. [T]o educate attorneys about the problems identified in the Supreme Court's Race Bias and Gender Fairness Task Force Reports, as well as in other studies, reports or treatises which describe bias and prejudice in the legal profession, in the practice of law, and/or in the administration of justice.

Appendix I, Course Approval Form, RMBCLE.

In his affidavit to the Board for his July 1, 1999 through June 30, 2002 reporting period for continuing legal education credits,[4] Rothenberg reported zero course hours approved for elimination of bias credit. Following a reminder and a final warning, the Board sent Rothenberg a notice of noncompliance. In accordance with Rule 11, RMBCLE, Rothenberg requested a hearing before the Board.

The hearing was held on June 19, 2003. At the hearing, Rothenberg did not dispute his failure to complete two course hours on the elimination of bias. Rothenberg asserted that the elimination of bias requirement was unconstitutional, opining that the findings and conclusions of

[4] The Minnesota Office of Attorney Registration specifies a three-year period for each lawyer's continuing legal education reporting period. A lawyer must submit an affidavit within 60 days of the close of this three-year period. Rule 9(B), RMBCLE.

the Race Bias Task Force Report, which formed the basis for the elimination of bias requirement, were based on dubious claims of bias in Minnesota's justice system. Rothenberg also argued that certain elimination of bias courses promote political beliefs he disagrees with and unconstitutionally promote religion. In particular, Rothenberg referenced courses that he claimed give preferential treatment to Islam and oppose the United States' efforts against terrorism, as well as capital punishment courses that he alleged presented only one side of the issue.

The Board issued findings of fact and conclusions of law, concluding that Rothenberg was in noncompliance with the elimination of bias requirement. The Board did not address Rothenberg's constitutional arguments and recommended that if Rothenberg did not seek review of its decision, his license be placed on involuntary restricted status in accordance with Rule 11(F), RMBCLE.

Rothenberg filed a petition for review with this court pursuant to Rule 11(G), RMBCLE, which provides that we "shall give such direction, hold such hearings and make such order[s] as [we] may in [our] discretion deem appropriate." We granted Rothenberg's petition to hear his constitutional arguments, ordering Rothenberg to proceed as appellant and the Board to proceed as respondent.

Rothenberg argues in his brief that the elimination of bias requirement "has turned into an engine of divisive political ideology." As evidence, Rothenberg identifies

approximately 10 courses that he claims represent the politicization of the requirement.[5]

Rothenberg specifically challenges the Board's approval of certain courses that discuss Islam. Rothenberg argues that these courses fail to discuss issues such as "mass worldwide terrorism, * * * the recruitment of homicide-suicide bombers, aggressive religious jihad, * * * teaching children virulent hatred of non-Muslims and the U.S., misogyny, and slave trading - all in the name of religion." The Board, in response, does not address the courses Rothenberg challenges, but points out that Rothenberg has not objected to hundreds of other courses approved for elimination of bias credit during his reporting period.[6]

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[5] These courses include: "Representing Muslim and Arab Clients After 9-11: Can the Sixth Amendment Right to Counsel Survive?"; "With Justice for All-An Ethics & Elimination of Bias CLE Featuring Morris Dee"; "Civil Liberties in a Post-9/11 World";"Impeach Justice Douglas!"--a theatrical performance focusing on several issues with which Justice William O. Douglas was involved during his tenure on the U.S. Supreme Court; and "Presumed Guilty: Eliminating Bias in the Legal System."

[6] The precise number of other courses presented is unclear. Between July 1, 1999 and June 30, 2002, at least 700 courses were presented for at least one hour of elimination of bias credit in Minnesota. Many of these courses were the same or similar, but the number of different courses, based on the evidence presented to us, was at least 150. These courses appear to encompass a broad range of issues, including "Women in Law Firms," "Working With Immigrant Clients: Cultural and Language Issues," "Disability in the Law," and "Understanding the Special Needs of the Elderly/Disabled," to name just a few.

At oral argument, Rothenberg conceded that "there is prejudice and bias in society" and among lawyers as well. Rothenberg agreed that bigotry, prejudice, and bias "ought to be combated at every turn." Furthermore, he acknowledged that "lawyers and judges should educate themselves, should * * * reflect on these issues, should want to ensure that their conduct as leaders in society and leaders in government does not hurt anyone [and] does not deny anyone's rights on the basis of bigotry or prejudice or bias."

Rothenberg also conceded during oral argument that there are "a vast variety of courses" on the elimination of bias. Nevertheless, Rothenberg argued that the operation of the elimination of bias requirement is unconstitutional because "all the courses have an ideological content" and the presence of any ideological courses or any courses discussing religion is unjustified. Therefore, Rothenberg argues, it would be unconstitutional for our court to punish a lawyer for failing to attend courses on the elimination of bias.

I.

We first consider whether the elimination of bias requirement violates Rothenberg's First Amendment right to freedom of speech.[7] Rothenberg has framed this issue in different ways, arguing that (1) he is forced to pay for and attend courses presenting beliefs and ideas with which he disagrees, (2) the elimination of bias requirement was designed on an ideological basis, and (3) the Board's approval

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[7] Rules promulgated by this court are subject to the requirements of the First Amendment. *See Republican Party of Minnesota v. White,* 536 U.S. 765, 788 (2002).

of courses is ideologically based. We address each of these arguments in the context of the First Amendment's protection against compelled speech or association.

The United States Supreme Court has held that the First Amendment prevents the government from compelling individuals to pay subsidies for speech to which they object. *See Keller v. State Bar of California*, 496 U.S. 1, 14 (1990). The Court has recognized that, though there is a need for compulsory dues in contexts such as a labor union or a bar association, it may violate the First Amendment to force a person to contribute money toward a cause with which he or she disagrees. *Id.*; *Abood v. Detroit Bd. of Educ.*, 431 U.S. 209, 234 (1977). The "heart of the First Amendment is the notion that an individual should be free to believe as he will, and that in a free society one's beliefs should be shaped by his mind and his conscience rather than coerced by the State." *Abood*, 431 U.S. at 234-35.

In Keller, lawyers challenged the use of state bar dues to fund "ideological or political activities" such as legislative lobbying of political issues. 496 U.S. at 4. The Supreme Court held that compelled association through an integrated bar was justified by California's interest in "regulating the legal profession and improving the quality of legal services."[8] 496 U.S. at 13. The Court held that dues could be collected from all members to fund any activities germane to those goals. *Id.* at 14. But, the Court explained, members of the bar could not be compelled to fund activities that were not germane to the goals of regulating the legal profession or improving the quality of legal services and were of an ideological nature. *Id.* The "guiding standard" for whether expenditures are permissible is whether the challenged

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[8] An "integrated bar" is an "association of attorneys in which membership and dues are required as a condition of practicing law in a State." *Keller*, 496 U.S. at 5.

expenditures are "necessarily or reasonably incurred for the purpose of regulating the legal profession or 'improving the quality of the legal service available to the people of the State.'" *Id.* (quoting *Lathrop v. Donohue*, 3 67 U.S. 820, 843 (1961)).

Rothenberg argues that the elimination of bias requirement is unconstitutional because the First Amendment protects him from being compelled to subsidize speech he finds objectionable. However, there is no evidence that Rothenberg is forced to pay for a course or courses discussing ideological or political ideas that he opposes. Rothenberg has identified courses that he disagrees with based on their titles and descriptive materials, but there are hundreds of courses offered to which he has not voiced any objection and that he could take in order to complete the elimination of bias requirement. Rothenberg conceded in oral argument that there are "a vast variety of courses" on the elimination of bias. We conclude that the elimination of bias requirement does not force Rothenberg to pay for courses presenting ideas with which he disagrees.

Moreover, Rothenberg's statements at oral argument indicate that he agrees that eliminating bias in the legal profession and in the practice of law would improve the quality of legal services in Minnesota. Rothenberg concedes that there is prejudice and bias in society, that it ought to be combated at every turn, and that lawyers should ensure that their conduct does not hurt anyone and does not deny anyone's rights on the basis of bigotry, prejudice, or bias. We agree. Based on our review of the elimination of bias requirement, we conclude under the *Keller* analysis that this requirement is germane to the goals of regulating the legal profession and improving the quality of legal services in Minnesota.

Rothenberg also argues that the First Amendment's protection of an individual's freedom of conscience makes the elimination of bias requirement unconstitutional. First Amendment rights may be violated if a state infringes upon an "individual['s] freedom of mind" or conscience. *West Va. State Bd. of Educ. v. Barnette*, 319 U.S. 624, 637 (1943); *accord Wooley v. Maynard*, 430 U.S. 705, 714 (1977) (holding that New Hampshire could not punish an individual for blocking out part of his automobile license plate containing the state motto, "Live Free or Die"); *see also Hurley v. Irish-Am. Gay, Lesbian, and Bisexual Group of Boston*, 515 U.S. 557, 573 (1995) (holding forced association violated the First Amendment when state law forced parade organizers to allow a group with beliefs with which the organizers did not agree to participate in the expressive activity of a parade). "Just as the First Amendment may prevent the government from prohibiting speech, the Amendment may prevent the government from compelling individuals to express certain views." *United States. v. United Foods, Inc.*, 533 U.S. 405, 410 (2001).[9] As discussed above,

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[9] *Amicus curiae* Peter Swanson argues that the failure to allow lawyers to opt out of the requirement makes the elimination of bias requirement unconstitutional because lawyers are a "captive audience." *See also* Kari M. Dahlin, *Actions Speak Louder Than Thoughts: the Constitutionally Questionable Reach of the Minnesota CLE Elimination of Bias Requirement*, 84 Minn. L. Rev. 1725, 1747-49, 1755 (2000). The captive audience doctrine is based on the notion that, in certain circumstances, the unwillingness of persons to receive a message outweighs another's right to speak. *See* Marcy Strauss, *Redefining the Captive Audience Doctrine*, 19 Hastings Const. L.Q. 85, 86-87 (1991). The Supreme Court has applied the concept of a captive audience to protect an individual when he or she receives an unwanted message in the privacy of one's home. *See Bolger v. Youngs Drug Products Corp.*, 463 U.S. 60, 72 (1983), or when the

however, there is no evidence that Rothenberg is forced to attend a course discussing ideas with which he disagrees. Moreover, the elimination of bias requirement is fundamentally different from the activities and behavior addressed by the Supreme Court in *Wooley, Barnette*, and *Hurley*. In those cases, individuals were forced to affirmatively express agreement with or pledge allegiance to particular beliefs. The grounds for a First Amendment violation in *Wooley* and *Barnette* were the right to protect autonomy over one's mind; in *Hurley*, the Supreme Court addressed the right of an individual to protect his image in situations where third parties will interpret expressive behavior as an expression of that individual's own beliefs. In contrast, the elimination of bias requirement does not force Minnesota lawyers to say "I believe in X" or manifest

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government is attempting to prevent hate speech, nudity, or other offensive speech in order to protect certain persons from exposure to it in public. *See Lehman v. City of Shaker Heights*, 418 U.S. 298, 302 (1974) (holding constitutional a city's decision to not allow political or public-issue advertisements on its buses; a plurality relying in part on the fact that the riders of the bus would be captive to such advertising); *Cohen v. California*, 403 U.S. 15, 23 (1971) (holding unconstitutional the conviction of a defendant for disturbance of the peace for wearing a jacket bearing offensive words in a courthouse despite persons in a courthouse being captive to the message). We have found no case where the Supreme Court has applied the captive audience doctrine in the "negative" sense, that is, where the government requires an individual to be "captive" as opposed to protecting the individual from being captive. Assuming arguendo that the captive audience doctrine is relevant in the context of this case, we believe that it raises essentially the same First Amendment concerns that Rothenberg makes when he argues that he is unconstitutionally forced to attend elimination of bias courses.

agreement with anything. It only requires that Minnesota lawyers be passively exposed to certain ideas by attending courses on the elimination of bias in the legal profession and in the practice of law. For this reason, the California Court of Appeals, which appears to be the only other court to have considered a similar issue, held that a California requirement that lawyers attend classes on elimination of bias does not violate the First Amendment. *See Greenberg v. State Bar of California*, 92 Cal. Rptr. 2d 493, 496 (Cal. Ct. App. 2000) (stating that lawyers are merely "passively exposed to classes relating to these subjects, without being compelled to manifest any agreement or allegiance to their goals or other political agendas."), *rev. denied* (Apr. 26, 2000).

Rothenberg asserts that being forced to pay for a course would make him more than passively exposed to those ideas. However, having concluded that the elimination of bias requirement is germane to the goal of regulating the legal profession and improving the quality of legal services in Minnesota, we also conclude that requiring lawyers to pay for such a course does not raise such concerns.

Rothenberg's other arguments, that the elimination of bias requirement was designed on an ideological basis or that the Board has approved courses on an ideological basis, also lack any support. Rothenberg has presented no evidence that the elimination of bias requirement was designed on an ideological basis or that the Board has approved courses on an ideological basis.[10] In the context of Rothenberg's

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[10] To support his assertion at the hearing before the Board, Rothenberg cited to an article by Richard Posner entitled *An Army of the Willing*, The New Republic, May 19, 2003, at 27; and an article by Jonathan Kay entitled *Crime by the Numbers*, Commentary, April 2003, at 73. Neither of these articles discusses the Race Bias Task Force Report nor provides any basis for the assertion that the elimination of

argument, "ideological" appears to be shorthand for something with which he disagrees. Merely asserting that the elimination of bias requirement has ideological origins or is applied ideologically does not create a cognizable claim.

Moreover, we disagree with Rothenberg's characterization of the elimination of bias requirement as necessarily seeking to inculcate beliefs. Courses approved for elimination of bias credit must be "directly related to the practice of law" and "designed to educate attorneys to identify and eliminate [bias] from the legal profession and from the practice of law." Rule 2(I), RMBCLE. These courses must be designed to meet educational goals such as educating lawyers regarding barriers to hiring, retention, promotion, and professional development of lawyers of color, women, and others. Such goals illustrate that the elimination of bias requirement seeks to change behavior by informing lawyers how to identify and eliminate bias. For purposes of reference, a course presented in 2001 and discussed in the record before us was entitled "Understanding Deaf Culture and Working with Deaf Clients." The materials for this course state that its objectives include identifying specific needs of people who are deaf with respect to communication and adaptive equipment and identifying ways of enhancing communication.

We conclude that the elimination of bias requirement serves the legitimate function of informing lawyers how to identify and eliminate bias in the legal system. We recognize Rothenberg's disagreement with the views expressed by some

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bias requirement is ideologically-based, much less unconstitutional. In his brief to this court, Rothenberg cites to a newspaper article that discusses generally the Race Bias Task Force Report. Dave Peterson and Paul Gustafson, *Accuracy or Advocacy? Bias Report Challenged,* Minneapolis Star Trib., June 27, 1993, at 1B.

of the approved elimination of bias courses. However, our decision to prescribe rules allowing a broad array of courses that could qualify for elimination of bias credit was made after taking into consideration concerns by members of the bar who cautioned against having a limited view of what constitutes bias.

For the foregoing reasons, we hold that the elimination of bias requirement does not violate Rothenberg's First Amendment right to freedom of speech. We acknowledge, however, that Rothenberg's appeal highlights the need to have courses that are well designed to meet the goals set forth and the definitions provided by the Rules of the Minnesota Board of Continuing Legal Education. Accordingly, we urge the Board to exercise continued vigilance as it reviews and approves courses for the elimination of bias credit.

II.

We next address Rothenberg's argument that the elimination of bias requirement violates the Establishment Clause of the First Amendment because the Board has approved courses that promote religion. The First Amendment prevents the government from making any law "respecting an establishment of religion * * *." U.S. Const. amend. I. A state law, though facially nondiscriminatory, may violate the Establishment Clause if it fails to meet a three-pronged test: (1) it must have a secular purpose; (2) its principal or primary effect must be one that neither advances nor inhibits religion; and (3) it must not foster excessive government entanglement with religion. *Lemon v. Kurtzman*, 403 U.S. 602, 612-13 (1971).

Rothenberg does not appear to contest that the elimination of bias requirement has a secular purpose, but argues that the elimination of bias requirement violates the

Establishment Clause because the Board has approved courses that give "special emphasis to propagating approval of or at least sympathy for Islam." Rothenberg argues that there are no courses on anti-Semitism, for example, but there are courses on Islam such as "Understanding Islam and Working with Muslim Clients" and "Enhancing Your Knowledge of Somali and Islamic Cultures." Materials for these courses illustrate that they do include discussion of the religious tenets and values of Islam in an effort to educate and inform Minnesota lawyers in order to allow them to better serve their clients. Rothenberg takes issue with the courses' lack of discussion of Islamic-based terrorism, but he fails to articulate why such a discussion would be appropriate in courses with the purpose of improving lawyers' ability to work with Muslim clients in order to eliminate biases in the legal system that Rothenberg acknowledges exist among lawyers. Based on the course materials and the record before us, we conclude that the Board's approval of these courses does not have the primary effect of advancing or inhibiting religion. *See Zelmon v. Simmons-Harris*, 536 U.S. 639, 683-84 (2002) (holding school voucher program enacted for valid secular purpose and facially neutral toward religion did not violate the Establishment Clause because it did not provide a preference for religion.)

Rothenberg concedes, and the record demonstrates, that lawyers have a wide variety of courses from which to choose to fulfill the elimination of bias requirement. It appears that only a few out of the hundreds of these courses discuss religious beliefs. We conclude that the fact that lawyers have the opportunity to take courses on the elimination of bias that discuss religion in the context of educating lawyers to better serve their clients does not excessively entangle the state in religion. *See School Dist. of Abington Tp., Pa. v. Schempp*, 374 U.S. 203, 226 (1963) (holding that a state law requiring the reading of verses from the Bible and the recitation of the Lord's Prayer at the

beginning of each school day was unconstitutional; distinguishing this activity from studying the Bible in a literature or comparative religion course, which would be permissible).

Accordingly, we hold that, when meeting the elimination of bias requirement, the Board's approval of certain courses that include a discussion of religion in the context of eliminating bias in the legal profession and in the practice of law does not violate the Establishment Clause.

III.

Rothenberg also asserts that the elimination of bias requirement, as applied, violates the Freedom of Conscience Clause of the Minnesota Constitution. Article I, section 16 of the Minnesota Constitution provides:

> **Freedom of conscience; no preference to be given to any religious establishment or mode of worship.** The enumeration of rights in this constitution shall not deny or impair others retained by and inherent in the people. The right of every man to worship God according to the dictates of his own conscience shall never be infringed; nor shall any man be compelled to attend, erect or support any place of worship, or to maintain any religious or ecclesiastical ministry, against his consent; nor shall any control of or interference with the rights of conscience be permitted, or any preference be given by law to any religious establishment or mode of worship; but the liberty of conscience hereby secured shall not be so construed as to excuse acts of licentiousness or justify practices inconsistent with the peace or safety of the state, nor shall any money e drawn from the treasury for the benefit of any religious societies or religious or theological seminaries.

In *State v. Hershberger*, we applied the Freedom of Conscience Clause to afford greater protection for religious liberties than the First Amendment. 462 N.W.2d 393 (Minn. 1990). We held that a state statute requiring the display of a slow-moving vehicle emblem was unconstitutional as applied to Amish defendants because the statute violated the defendants' freedom of conscience. *Id. Hershberger* was decided on remand after the Supreme Court vacated our earlier decision holding that the statute violated the defendants' First Amendment free exercise rights. *Minnesota v. Hershberger*, 495 U.S. 901 (1990).

Rothenberg does not present any arguments why the elimination of bias requirement violates his freedom of conscience-he only asserts that the clause grants more protection than the First Amendment. Rothenberg cites *Rasmussen v. Glass*, in which the Minnesota Court of Appeals held that a city ordinance requiring a restaurant owner to deliver food to a medical facility that performed abortions, which the owner strongly opposed, violated the owner's rights under the Freedom of Conscience Clause. 498 N.W.2d 508, 516 (Minn. App. 1993). *Rasmussen*, like *Hershberger*, applied the compelling state interest balancing test to conclude that a state law burdened an individual's exercise of religion. It is not clear to us, however, how the elimination of bias requirement could burden Rothenberg's free exercise of religion. As we have already concluded, Rothenberg had numerous choices of courses to meet the elimination of bias requirement and he has not shown that he had no choice but to violate his freedom of conscience. Accordingly, we hold that, when meeting the elimination of bias requirement, the Board's approval of courses that include a discussion of religion in the context of eliminating bias in the legal profession and in the practice of law does not violate Rothenberg's rights under article I, section 16 of the Minnesota Constitution.

IV.

Having concluded that the elimination of bias requirement does not violate Rothenberg's constitutional rights, we are left with the Board's conclusion that Rothenberg is in noncompliance with Rule 9(A)(2), RMBCLE. Because Rothenberg does not dispute that he is in noncompliance with the requirement, we adopt this conclusion and must decide the appropriate action to resolve this case. *See* Rules 11(F) & 11(G), RMBCLE. In making this determination, we are mindful of the fact that Rothenberg is a long-time member of the Minnesota bar in good standing and has made good faith arguments in challenging the constitutionality of the elimination of bias requirement. We are also mindful that the Rules of the Minnesota Board of Continuing Legal Education do not provide a method for a lawyer with concerns about the content of continuing legal education courses to challenge these courses and that failing to comply with the requirements and requesting a hearing before the Board was, in this case, a way for Rothenberg to express his concerns.

Therefore, we order that petitioner Elliot Rothenberg comply with the elimination of bias requirement for his July 1, 1999 through June 30, 2002 continuing legal education reporting period. He may do so by attending a qualifying course or courses and by submitting an Affidavit of CLE Compliance for this period by July 1, 2004 in accordance with Rule 9(A)(2), RMBCLE. If Rothenberg fails to comply with this order by such date, his license shall be placed on involuntary restricted status as defined by Rule 2(L), RMBCLE, subject to the restrictions of Rule 12, RMBCLE.

It is so ordered.

STATE OF MINNESOTA

BOARD OF CONTINUING LEGAL EDUCATION

FINDINGS OF FACT, CONCLUSIONS OF LAW, AND DETERMINATION

In the Matter of

ELLIOT ROTHENBERG,

Petitioner.

This matter came on for hearing before the Minnesota Board of Continuing Legal Education ("Board") on June 19, 2003. Elliot Rothenberg ("Petitioner") appeared pro se. Ruth E. Flynn, Assistant Attorney General, appeared on behalf of the Board. Having been fully apprised in the premises and based upon the evidence and witnesses introduced at the hearing, the arguments of counsel, and all of the records and proceedings herein, the Board makes the following Findings of Fact, Conclusions of Law, and Determination pursuant to Rules of the Minnesota Board of Continuing Legal Education (Rules).

FINDINGS OF FACT

1. Petitioner was admitted to the Minnesota Bar on June 1, 1966. (Ex. A.) During the continuing legal education ("CLE") reporting period of 1996 to 1999, Petitioner satisfied the 45-hour course requirement, including a two-hour course in the elimination of bias. (Ex. B.)

2. During the CLE reporting period of 1999 to 2002, Petitioner satisfied the 45-hour course requirement but not the two-hour elimination of bias requirement. (Ex. B.)

3. On November 26, 2002, after issuing a reminder on April 23, 2002, and a final warning on November 4, 2002, the Board issued Petitioner a Notice of Non-Compliance ("Notice"). (Exs. A and C.) The Notice instructed Petitioner to submit within 30 days an affidavit as evidence of his compliance with the Board's CLE reporting rules, elect voluntary restricted status, request an extension of time in which to comply, or request a hearing. (Ex. C.)

4. By letter dated December 16, 2002, sent by electronic mail, Petitioner requested a hearing. In his letter, Petitioner stated,

> Requiring attorneys to pay for and attend diversity training sessions under the "Elimination of Bias" category violates the United States Constitution - Amendments One and Fourteen - and the Minnesota Constitution - Article One, Section Three and Section Sixteen. The constitutional infirmities apply to the diversity requirement in general, the substantive content of the courses approved or disapproved in this program, and the selective nature of the "discrimination" deemed unacceptable.

(Ex. D.)

CONCLUSIONS OF LAW

1. The Board has the burden of proving Petitioner failed to complete a minimum of two credit hours in the elimination of bias in the legal profession and in the practice of law. Board Rule 9A(2).

2. Petitioner's reported CLE credits for the reporting period of 1999 to 2002 establish his failure to comply with Board Rule 9A(2). Petitioner does not dispute this fact. Accordingly, the Board has met the requisite burden of proof

establishing Petitioner's failure to complete the minimum of two credit hours in the elimination of bias in the legal profession and in the practice of law.

DETERMINATION

The Board finds Petitioner in noncompliance with Rule 9A(2) as he has failed to show evidence of completion of a minimum of two (2) hours of courses in the elimination of bias in the legal profession and in the practice of law during the CLE reporting period 1999 through 2002. The Board recommends to the Minnesota Supreme Court that Petitioner's license to practice law in Minnesota be placed on involuntary restricted status unless Petitioner files a Petition for Review with the Clerk of the Appellate Court in accordance with Rule 11G within 20 days of receipt of this decision.

Dated: June 23, 2003

MINNESOTA BOARD OF
CONTINUING LEGAL EDUCATION

s/_____

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Supreme Court, U.S.
FILED
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No. 03-1689

In The
Supreme Court of the United States

ELLIOT ROTHENBERG,

Petitioner,

v.

BOARD OF CONTINUING LEGAL EDUCATION,

Respondent.

On Petition For Writ Of Certiorari To The Minnesota Supreme Court

BRIEF FOR THE RESPONDENT IN OPPOSITION

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QUESTIONS PRESENTED

1. Whether the Minnesota Supreme Court's rule requiring attorneys licensed in the State of Minnesota to complete continuing legal education dealing with the elimination of bias violates the Freedom of Speech Clause of the First Amendment.

2. Whether Respondent's approval of coursework such as "Understanding Islam" violates the First Amendment Establishment Clause.

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OPPOSITION TO PETITION FOR WRIT OF CERTIORARI

Respondent Minnesota Board of Continuing Legal Education respectfully requests that this Court deny the Petition for Writ of Certiorari seeking review of the Minnesota Supreme Court's opinion in this case. That opinion is reported at 676 N.W.2d 283 (Minn. 2004) and is reproduced in Petitioner's Appendix at A-1.

JURISDICTIONAL STATEMENT

Respondent issued an order on June 23, 2003, finding Petitioner in noncompliance with the requirement he complete elimination of bias coursework. The Supreme Court reviewed the order and the constitutional issues raised by Petitioner and issued its opinion on March 25, 2004. The Petition for Writ of Certiorari was docketed in this Court on June 24, 2004.

RELEVANT AUTHORITY INVOLVED

Petitioner lists the Establishment and Freedom of Speech Clauses of the First Amendment to the Constitution and the Due Process Clause of the Fourteenth Amendment to the Constitution, U.S. Const. Amend. I and XIV as relevant authority. However, Petitioner did not raise a due process objection before the Minnesota Supreme Court as a basis for declining to place him on involuntary restricted status.

STATEMENT OF THE CASE

This case presents First Amendment challenges to the Minnesota Supreme Court rule requiring attorneys admitted to the Minnesota Bar to complete continuing legal education (CLE) coursework in the elimination of bias. Elimination of bias coursework, by definition, includes courses that are directly related to the practice of law and designed to educate attorneys to identify and eliminate from the legal profession and from the practice of law biases against persons because of race, gender, economic status, creed, color, religion, national origin, disability, age or sexual orientation. Rule Minn. Bd. of CLE 2I.

A. Regulatory Authority of the Minnesota Supreme Court.

The judicial power of the State of Minnesota is vested in the Minnesota Supreme Court. Minn. Const. art. VI, § 1. The legislature has given the court authority to regulate the legal profession in the State of Minnesota, including prescribing rules governing the examination and admission to practice of attorneys at law and rules governing their conduct in the practice of their profession. The authority to set the continuing legal education requirements for attorneys has also been vested in the court. Minn. Stat. § 480.05 (2002). The court has delegated the responsibility for administering certain of its rules to various appointed boards. Respondent CLE Board is the court's delegated supervisory agency for the administration of the court-approved CLE rules. Rule Minn. Bd. of CLE 3D.

B. Enactment of the Elimination of Bias CLE Rules.

By order dated September 15, 1995, the Minnesota Supreme Court mandated that attorneys licensed by the State of Minnesota must accrue at least three hours of continuing legal education courses in legal ethics and professional responsibility and at least two hours in courses on the elimination of bias in the legal profession and in the practice of law. The five "ethics and bias" credit hours were included in the already existing 45-hour attorney continuing legal education requirement.

In 1996, the Minnesota Supreme Court amended Respondent's Rules to include the following provisions for the elimination of bias course work:

> Course in the elimination of bias in the legal profession and in the practice of law means a course directly related to the practice of law that is designed to educate attorneys to identify and eliminate from the legal profession and from the practice of law, biases against persons because of race, gender, economic status, creed, color, religion, national origin, disability, age or sexual orientation.

Rule Minn. Bd. of CLE 2I.

> Elimination of Bias Courses. Courses or sessions within courses accredited as elimination of bias:
>
> (1) Must be at least sixty (60) minutes in length;
>
> (2) Must be identified on the Course Approval Form as fulfilling the elimination of bias requirement and be accompanied by a narrative required by Appendix I of these Rules;

(3) Must focus on issues in the legal profession and in the practice of law and not upon issues of bias in society in general; and

(4) Must not include courses on the substantive law of illegal discrimination unless such courses meet one or more of the Goals for the Elimination of Bias as set forth in the Course Approval Form at Appendix I.

Rule Minn. Bd. of CLE 6B.

Courses approved as "elimination of bias" must be at least 60 **continuous minutes in duration**, must be directly related to the practice of law, must meet all other requirements of Rule 5 of the Rules of the CLE Board and must be designed to meet one or more of the following goals:

1. to educate attorneys about the elimination of bias or prejudice in the legal profession, in the practice of law, and/or in the administration of justice;

2. to educate attorneys regarding barriers to hiring, retention, promotion, professional development and full participation of lawyers of color, women, and those persons referenced in the **"elimination of bias" definition** Rule 2I of the Rules of the CLE Board, both in the public and private sector of the legal profession and in the practice of law;

3. to educate attorneys about the problems identified in the Supreme Court's Race Bias and Gender Fairness Task Force Reports, as well as in other studies, reports or treatises which describe bias and prejudice in the legal profession, in the practice of law, and/or in the administration of justice.

Appendix I, Course Approval Form, Rule Minn. Bd. of CLE Learning Goals for Minnesota Elimination of Bias Courses.

> To maintain active status, a lawyer must submit a written affidavit to the Board on the affidavit form published as Appendix II and incorporated herein, setting forth all information called for and showing that the lawyer has completed a minimum of 45 hours of course work either as a participant or a presenter in approved continuing legal education courses, including:
>
> (1) no fewer than three (3) hours of courses on ethics and professional responsibility education; and
>
> (2) no fewer than two (2) hours of courses in the elimination of bias in the legal profession and in the practice of law.

Rule Minn. Bd. of CLE 9A.

C. June 2003 Evidentiary Hearing.

Petitioner did not complete the required coursework in the elimination of bias for the 1999 to 2002 reporting period. At a one-day hearing on June 19, 2003, Petitioner defended his noncompliance by asserting the requirement violates the First Amendment Freedom of Speech and Freedom of Conscience Clauses. Petitioner challenged the requirement by voicing disapproval of the May 1993 Minnesota Supreme Court Task Force on Racial Bias in the Judicial System report and the content of certain of the courses offered to satisfy the elimination of bias requirement. Pet'r Br. at 2-5.

In addition to his free speech challenges, Petitioner claimed some of the courses approved by Respondent promoted and endorsed one religion to the exclusion of others in violation of the Establishment Clause of the First Amendment. Specifically, Petitioner claimed many courses that have been offered provided a sanitized and one-sided presentation of Islam to the exclusion of any courses on antisemitism for which Minnesota has been notorious.

Respondent found Petitioner in noncompliance with Rule 9A(2) but did not rule on the constitutional issues. Respondent recommended that Petitioner's license to practice law in Minnesota be placed on involuntary restricted status unless Respondent filed a Petition for Review with the Minnesota Supreme Court.

D. State Court Appeal.

Petitioner sought review of Respondent's decision from the Minnesota Supreme Court, which granted review. On March 24, 2004, in a unanimous decision, the Minnesota court rejected Petitioner's argument that requiring attorneys to attend elimination of bias courses violates their First Amendment free speech or freedom of conscience rights or the First Amendment Establishment Clause. The court ordered Petitioner to attend two hours of elimination of bias classes by July 1, 2004, or have his license placed on involuntary restricted status. Pet'r App. at 19.

Addressing Petitioner's multifaceted First Amendment challenges, the Minnesota Supreme Court held that Petitioner's compelled speech and freedom of conscience arguments failed because he submitted no evidence that he was forced to pay for courses discussing ideological or

political ideas that he opposes. Pet'r App. at 8-15. Likewise, the court found Petitioner presented no evidence that Respondent's approval of certain continuing legal education courses that include a discussion of religion have the primary effect of advancing or inhibiting religion in violation of the Establishment Clause. *Id.* at 15-18.

The court also rejected Petitioner's assertion that Respondent's approval of courses promoting an understanding of Islamic culture violated the Freedom of Conscience Clause of the Minnesota Constitution. The court observed that although those courses did include discussion of the religious tenets and values of Islam, Petitioner had numerous choices of courses to meet the elimination of bias requirement and he made no showing that he had no choice but to violate his freedom of conscience. *Id.* at 17-18.

REASONS FOR DENYING THE PETITION

This Court should deny the Petition for Writ of Certiorari for several reasons. First, the decision of the Minnesota Supreme Court does not conflict with any decision of this Court. Rather, the allegedly conflicting cases cited by Petitioner are fundamentally different from this case. Second, the Minnesota Supreme Court made clear in its holding, consistent with this Court's decisions, that the constitutionality of the elimination of bias requirement depends on its germaneness to the goals of regulating the legal profession and improving the quality of legal services in Minnesota. Finally, Petitioner's argument that the elimination of bias requirement violates the Establishment

Clause is not supported by the record and does not warrant this Court's review.

I. THE MINNESOTA SUPREME COURT'S HOLDING THAT THE ELIMINATION OF BIAS REQUIREMENT DOES NOT VIOLATE THE FIRST AMENDMENT FREEDOM OF SPEECH CLAUSE DOES NOT CONFLICT WITH PRIOR DECISIONS OF THIS COURT.

Petitioner asserts that the Minnesota Supreme Court's decision conflicts with this Court's prior decisions applying the First Amendment Freedom of Speech Clause. To the contrary, the Minnesota Supreme Court's decision shows that the court specifically considered the precedents of this Court regarding government interference with individuals' First Amendment freedom of speech right.

The compelled-expression doctrine on which Petitioner relies emanates from the Freedom of Speech Clause. This Court's decisions in "compelled speech" fall into two discrete categories: those where the government directly or indirectly compels citizens to engage in speech activity and those that compel people to join and contribute to groups or associations whose speech they find objectionable. *See West Virginia Bd. of Ed. v. Barnette*, 319 U.S. 624 (1943) (government may not compel children, contrary to their conscience, to salute the American flag); *Wooley v. Maynard*, 430 U.S. 705 (1977) (government may not compel motorists, contrary to their conscience, to display license plates bearing the motto "Live Free or Die"); and *Keller v. State Bar of Cal.*, 496 U.S. 1 (1990) (holding that the state bar, in which membership was required as a condition of practicing law in the state, could not spend dues on certain ideological and political activities to which

individual dues payers were opposed). Petitioner argues this case falls in the latter category.

In addressing Petitioner's freedom of speech compelled-expression claim, the Minnesota court relied on the "guiding standard" this Court articulated in *Keller*: whether the requirement is "necessarily or reasonably incurred for the purpose of regulating the legal profession or 'improving the quality of the legal service available to the people of the State.'" *Id.* at 14 (quoting *Lathrop v. Donohue,* 367 U.S. 820, 843 (1961)). Pointing to Petitioner's own concession "that there is prejudice and bias in society, that it ought to be combated at every turn, and that lawyers should ensure that their conduct does not hurt anyone and does not deny anyone's rights on the basis of bigotry, prejudice or bias," the court concluded that the elimination of bias requirement "is germane to the goals of regulating the legal profession and improving the quality of legal services in Minnesota." Pet'r App. at 10. The court further stated that there was simply no evidence that Petitioner was required to pay for a course discussing ideological or political ideas that he opposes. *Id.* Rather, "there are hundreds of courses offered to which he has not voiced any objection." *Id.*

A. Passive Exposure To Elimination Of Bias Coursework Does Not Violate Petitioner's Freedom of Speech Rights.

Petitioner challenges the Minnesota Supreme Court's ruling by citing three cases heard by this Court as examples of what he describes as "sixty years of compelled speech decisions of this Court." Pet'r Br. at 7. However, the cases cited by Petitioner are limited to the facts under which they were decided and there is overwhelming

authority that supports the Minnesota court's holding that First Amendment rights are not violated by a requirement that Petitioner be merely passively exposed to elimination of bias coursework since he is not compelled to manifest any agreement or allegiance to the goals or political agendas of the presenters. *See, e.g., Hamilton v. Regents of the Univ. of Cal.*, 293 U.S. 245, 262-265 (1934) (required training courses in military science and tactics did not violate First Amendment rights of conscientious objectors to violence); *Barnette*, 319 U.S. 624, 631-632 (students may not be required to salute the American flag with a Hitler-style stiff-arm salute, but they may be required to attend civics classes relating to political subjects, including the symbolism of the flag); *Chaney v. State Bar of Cal.*, 386 F.2d 962, 964 (9th Cir.1967) (applicants to be California lawyers may constitutionally be required to demonstrate familiarity with certain legal concepts, including essay questions on "legal philosophy," even though they might not be required to manifest allegiance to any particular legal philosophy); *Tennison v. Paulus*, 144 F.3d 1285, 1287 (9th Cir.1998) (no First Amendment violation to require students to attend classes in fashionable subjects, despite claims that this interfered with certain students' "freedom of mind"); *Greenberg v. State Bar*, 92 Cal. Rptr. 2d 493, 496 (Cal. Ct. App. 2000), *review denied* (Cal. Apr. 26, 2000) (finding no constitutional infringement where plaintiffs were merely passively exposed to classes relating to substance abuse, emotional distress, and elimination of bias, without being compelled to manifest any agreement or allegiance to their goals or other political agendas) (citing *Hamilton*, 293 U.S. 245).

B. The Minnesota Supreme Court Correctly Applied The *Keller* Germaneness Test.

Petitioner next challenges the Minnesota court's reliance on *Keller*, contending that the court's holding that Respondent's curriculum met the *Keller* test "should not be sufficient by itself." Petitioner claims "[a]s in *Keller*, this Court should apply an objective standard of strict scrutiny to insure state compliance with constitutional protections against compelled speech." Pet'r Br. at 8. Petitioner misstates the *Keller* test. As stated by the Minnesota Supreme Court, this Court in *Keller* applied an intermediate level of scrutiny, requiring more than a rational relationship but less than a narrowly tailored service of a compelling state interest. *Keller,* 496 U.S. 1, 14; *see also Glickman v. Wileman Bros. & Elliott, Inc.* 521 U.S. 457, 473-74 (1997) (applying the *Keller* germaneness standard and implicitly rejecting the applicability of strict scrutiny analysis in the case before the Court); *Greenberg*, 92 Cal. Rptr. 2d 493 (applying *Keller* and upholding the California bar's elimination of bias requirement).

The Minnesota court appropriately applied the *Keller* germaneness standard verbatim and found that Petitioner failed to show his First Amendment interests outweigh the court's legitimate interest in raising the quality of professional service provided by members of the Minnesota Bar. The legitimacy of Respondent's interests are confirmed by the history of the CLE rules: that is, in ordering the elimination of bias coursework and in drafting and promulgating the rules to implement the order, the Minnesota Supreme Court and Respondent relied on studies about discriminatory practices that impact the legal profession in Minnesota. *See* BENCH AND BAR OF MINNESOTA, Nov. 1995, at 32 (Order C2-84-2163; Sept. 15, 1995); *Minnesota*

Supreme Court Task Force for Gender Fairness in the Courts, 15 Wm. Mitchell L. Rev. 827 (1989); *Minnesota Supreme Court Task Force on Racial Bias in the Judicial System,* 16 Hamline L. Rev. 477 (1993). The rules were intended to be sufficiently broad in scope to address the multifaceted issues associated with bias in the legal profession. Learning Goal 3, to educate attorneys about identified problems, is evidence that the court effectuated its intent to allow for a broad range of topics to satisfy the elimination of bias coursework requirement. Appendix I, Course Approval Form, Rule Minn. Bd. of CLE Learning Goals for Minnesota Elimitation of Bias Courses.

C. The Elimination of Bias Coursework Is Not "Ideologic."

Finally, Petitioner challenges the Minnesota court's holding that the coursework is not ideologic, claiming that "ideological advocacy is inevitable for courses whose purpose is not to educate regarding substantive law but to inculcate what are deemed proper attitudes." Pet'r Br. at 8. The state court, in arriving at its holding, correctly found no factual support for Petitioner's argument "that the elimination of bias requirement was designed on an ideologic basis or that Respondent has approved courses on an ideological basis." Pet'r App. at 13. Likewise, the court found no support for Petitioner's inculcation argument. Rather, the court observed that courses such as "Understanding Deaf Culture and Working with Deaf Clients" exemplified appropriate efforts "to change behavior by informing lawyers how to identify and eliminate bias." Pet'r Br. at 14.

The Minnesota Supreme Court's holding is supported by federal law and decisions of this Court that recognize the correctness of attempting to eradicate discriminatory behavior. *See* 42 U.S.C. §§ 12181(7)(F), 12182(a) (2002) (prohibiting disability discrimination in the full and equal enjoyment of services, including legal services); *Roberts v. United States Jaycees*, 468 U.S. 609 (1984) (state has a compelling interest in eliminating discrimination against women that justifies the impact that the Human Rights Act may have on male members' associational freedoms).

D. The Government Speech Doctrine Is Not Properly Before This Court.

As an afterthought, Petitioner suggests the Court may want to hear this case in conjunction with *Veneman v. Livestock Mktg. Ass'n,* No. 03-1164, to clarify the law regarding government speech and compelled private speech. Pet'r Br. at 9. If the government speech doctrine is applied to Respondent, Respondent would have greater discretion in requiring attorneys to participate in continuing legal education coursework. *Keller*, 496 U.S. at 10-11 (the government may speak despite citizen disagreement with the content of the message); *Legal Servs. Corp. v. Velazquez*, 531 U.S. 533, 541 (2001) (the government may fund viewpoint-based speech when the government itself is the speaker and also use private speakers to disseminate specific messages pertaining to government programs). However, this issue was not raised below or addressed by the Minnesota Supreme Court and it is not an issue now properly before this Court on appeal. *United States v. United Foods, Inc.*, 533 U.S. 405, 416-17 (2001) (expressly refraining from deciding whether a generic advertising program involved government speech because

that issue was not raised or addressed in the court of appeals).

II. THE MINNESOTA SUPREME COURT'S DECISION THAT RESPONDENT'S APPROVAL OF COURSEWORK SUCH AS "UNDERSTANDING ISLAM" IS CONSISTENT WITH THE TEST ARTICULATED BY THIS COURT IN *LEMON v. KURTZMAN.*

Petitioner boldly asserts Respondent has endorsed one religion (Islam) over another, thus violating the Establishment Clause. Pet'r Br. at 10-11. The standard for conducting an Establishment Clause analysis is the three-pronged test articulated in *Lemon v. Kurtzman*, 403 U.S. 602, 612-13 (1971) (the statute must have a secular legislative purpose; its principal or primary effect must be one that neither advances nor inhibits religion and the statute must not foster an excessive government entanglement with religion). The Minnesota Supreme Court, applying the test articulated in *Lemon*, concluded the first prong was not at issue because Petitioner apparently agreed that the challenged rules do have a secular purpose. Pet'r App. at 15. The court then applied the remaining two prongs of the *Lemon* test, and consistent with holdings of this Court, found that although Respondent had approved certain courses "that include a discussion of religion in the context of eliminating bias in the legal profession and in the practice of law" the rule did not advance or inhibit religion and therefore does not violate the Establishment Clause. Pet'r App. at 17. Given the facts presented – a handful of courses that were offered post September 11, 2001, about understanding Islam –

there is no misapplication of the law or important issue to justify review by this Court.

CONCLUSION

For the above reasons, the Petition for Writ of Certiorari should be denied.

Respectfully submitted,

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